

# Notice of Allowability

Application No.

10/051,469

Examiner

Martin Lerner

Applicant(s)

GONG, YIFAN

Art Unit

2654

## -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to Preliminary Amendment filed 18 January 2002.
2. ☒ The allowed claim(s) is/are 1 to 7.
3. ☒ The drawings filed on 18 January 2002 are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All   b) ☐ Some\*   c) ☐ None   of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftperson's Patent Drawing Review ( PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

### Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

### EXAMINER'S STATEMENT OF REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance:

Independent claim 1 is allowable because the prior art of record does not disclose or suggest determining the mismatch of a voice signal between a reference path and a test path by modeling convolutive noise and additive noise using a maximum likelihood criterion, where additive noise is modeled by polynomial functions of order P and convolutive noise is modeled by polynomial functions of a different order Q. Generally, it is known in the prior art to model speech as convolutive noise  $H_\Delta$  and additive noise  $N_N$  and using a maximum likelihood criterion to estimate model parameters. See e.g., *Cerisara et al.*, *Feder et al.*, and *Raj et al.* However, the prior art does not disclose or suggest solving polynomial functions with one order for  $H_\Delta$  and a different order for  $N_N$ . Applicant's Specification, Pages 14 to 15, discloses a polynomial model provides a better noise estimate and lower error than an independent-component bias model.

Independent claims 4 and 6 are allowable because the prior art of record does not disclose or suggest detecting an output power density of a reference path  $Y_R$  and an output power density of a test path to produce a power density of a mismatch signal  $Y_N$ , where a noise estimate  $\Theta_N$  is calculated as:

$$(D - B^t A^{-1} B) \Theta_N = v - B^t A^{-1} u,$$

and a channel estimate  $\Theta_H$  is calculated as:

$$\Theta_H = A^{-1}(u - B \Theta_N).$$

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Generally, it is known in the prior art to model speech as convolutive noise  $H_\Delta$  and additive noise  $N_N$  and using a maximum likelihood criterion to estimate model parameters. See e.g., *Cerisara et al.*, *Feder et al.*, and *Raj et al.* However, the prior art does not disclose or suggest calculating quantities with these Equations. Applicant's Specification, Pages 14 to 15, discloses a polynomial model provides a better noise estimate and lower error than an independent-component bias model.

Independent claims 5 and 7 are allowable because the prior art of record does not disclose or suggest detecting an output power density of a reference path  $Y_R$  and an output power density of the a path to produce a power density of a mismatch signal  $Y_N$ , where a noise estimate  $\Theta_N$  and a channel estimate  $\Theta_H$  are calculated by the given matrix Equation. Generally, it is known in the prior art to model speech as convolutive noise  $H_\Delta$  and additive noise  $N_N$  and using a maximum likelihood criterion to estimate model parameters. See e.g., *Cerisara et al.*, *Feder et al.*, and *Raj et al.* However, the prior art does not disclose or suggest calculating quantities with these Equations. Applicant's Specification, Pages 14 to 15, discloses a polynomial model provides a better noise estimate and lower error than an independent-component bias model.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Martin Lerner whose telephone number is (703) 308-

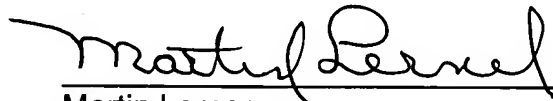
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9064. The examiner can normally be reached on 8:30 AM to 6:00 PM Monday to Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil can be reached on (703) 305-9645. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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3/1/05



Martin Lerner  
Examiner  
Group Art Unit 2654